

# Research Evaluation Metrics

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**Mount  
Sinai**



Piled Higher and Deeper *by Jorge Cham*

[www.phdcomics.com](http://www.phdcomics.com)

## Your (real) Impact Factor

$$\text{Impact Factor (corrected)} = \frac{\begin{array}{l} \# \text{ times your} \\ \text{work is cited} \end{array} - \begin{array}{l} \# \text{ citations that} \\ \text{actually trash} \\ \text{your work} \end{array} - \begin{array}{l} \# \text{ times} \\ \text{you cited} \\ \text{yourself} \\ \text{(nice try)} \end{array} - \begin{array}{l} \# \text{ times you were} \\ \text{cited just to pad} \\ \text{the introduction} \\ \text{section} \end{array} - \begin{array}{l} \# \text{ citations the editor} \\ \text{pressured the} \\ \text{author to include to} \\ \text{increase the jour-} \\ \text{nal's impact factor} \end{array}}{\begin{array}{l} \# \text{ original} \\ \text{articles you've} \\ \text{written} \end{array} + \begin{array}{l} \# \text{ articles you were} \\ \text{included in out of} \\ \text{pity or politics} \end{array} + \begin{array}{l} \# \text{ not-so-original} \\ \text{articles you've} \\ \text{copied and pasted} \end{array}}$$

JORGE CHAM © 2008  
[WWW.PHDCOMICS.COM](http://WWW.PHDCOMICS.COM)

title: "Your Impact Factor" - originally published 12/8/2008



# Impact factor

*In the early 1960s  
Irving H. Sher and  
Eugene Garfield  
created the journal  
impact factor to help  
select journals for the  
Science Citation  
Index...*



Arthur Seidel, Eugene Garfield, Kimber Vought and Irving H. Sher

*[Garfield] expected that “it would be used constructively while recognizing that in the wrong hands it might be abused”*

# The problem(s) with the Impact Factor

- ▶ The distribution of citations is highly skewed
- ▶ Thomson Reuters calculates the Impact Factor
  - Coverage has limitations
  - Prone to errors
- ▶ Impact Factor was never meant to be used as a quality measurement for researchers.

## SHARE



11K



7



455



Citation lists are key to calculating journal impact factors.

David Malakoff

## Hate journal impact factors? New study gives you one more reason

By John Bohannon | Jul. 6, 2016 . 4:30 PM

# Publish or Perish – 74 years later

- ▶ Tenure, promotion, and  
– Number of publications  
– Publishing in high-impact journals  
– Number of citations
- ▶ Decades of research  
mainly because  
– Database-driven  
– They do not  
becomes



enced by:

s are highly flawed

rch and science that

**Is there anything else out  
there?**

# SJR: Scimago Journal Rank Indicator

Journal Rankings

Country Rankings

Viz Tools

Help

About Us

## SJR

Scimago Journal & Country Rank

Enter Journal Title, ISSN or Publisher Name



<http://www.scimagojr.com/>



# SNIP (Source Normalized Impact per Paper)

The image shows a screenshot of the Journal Metrics website. The left side features a section titled "About Journal Metrics" with a colorful graphic of a pie chart, a line graph, and a grid of colored squares. A "Learn more" button is located at the bottom of this section. The right side features a "Journal Search" section with a text input field for "Journal title keyword", three dropdown menus for "Start Year", "Sort by", and "Ordered", a "Search" button, and a "Download full values" link.

**About Journal Metrics**

**Journal Search**

Search the entire collection of journals covered by Scopus along with their SNIP, IPP and SJR metrics going back to 1999.

Journal title keyword

Start Year

Sort by

Ordered

[Download full values](#)

<https://www.journalmetrics.com/>

The **Eigenfactor** is a rating of the total **importance** of a scientific journal.



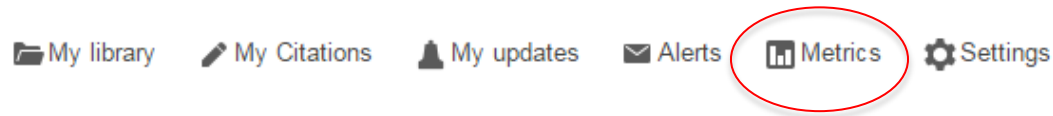
The Eigenfactor score, developed by Jevin West and Carl Bergstrom at the University of Washington

Journals are rated according to the number of incoming citations, with citations from **highly ranked journals weighted to make a larger contribution to the Eigenfactor than those from poorly ranked journals.**

Journals generating **higher impact to the field** have larger Eigenfactor scores.

Checkout  
how they  
work

# Did you know that Google Scholar has Metrics Too?



A search input field with a dropdown arrow on the right and a blue search button with a magnifying glass icon.

Articles  include patents  Case law

**My updates: recommended based on My Citations** [Learn more](#)

Downloads, citations and readership of two information systems journals  
C Schlögl, J Gorraiz, C Gumpenberger, K Jack...

Mobility Intentions of Foreign Researchers: The Role of Non-economic  
Motivations

SH Baruffaldi, P Landoni - Industry and Innovation, 2016

[See all updates](#)

<https://scholar.google.com/intl/en/scholar/metrics.html>

# Google Scholar Metrics

Google Scholar



Search Scholar

English

Top publications - English [Learn more](#)

Business, Economics & Management

Chemical & Material Sciences

Engineering & Computer Science

Health & Medical Sciences

Humanities, Literature & Arts

Life Sciences & Earth Sciences

Physics & Mathematics

Social Sciences

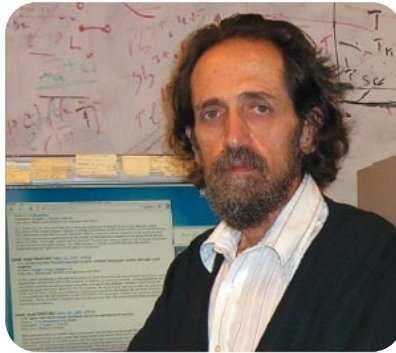
Chinese

Portuguese

Publication	h5-index	h5-median
1. Nature	379	560
2. The New England Journal of Medicine	342	548
3. Science	312	464
4. The Lancet	259	418
5. Cell	224	339
6. Chemical Society reviews	224	329
7. Journal of the American Chemical Society	218	293
8. Proceedings of the National Academy of Sciences	215	286
9. Advanced Materials	201	301
10. Angewandte Chemie International Edition	198	276

[https://scholar.google.com/citations?view\\_op=top\\_venues&hl=en](https://scholar.google.com/citations?view_op=top_venues&hl=en)

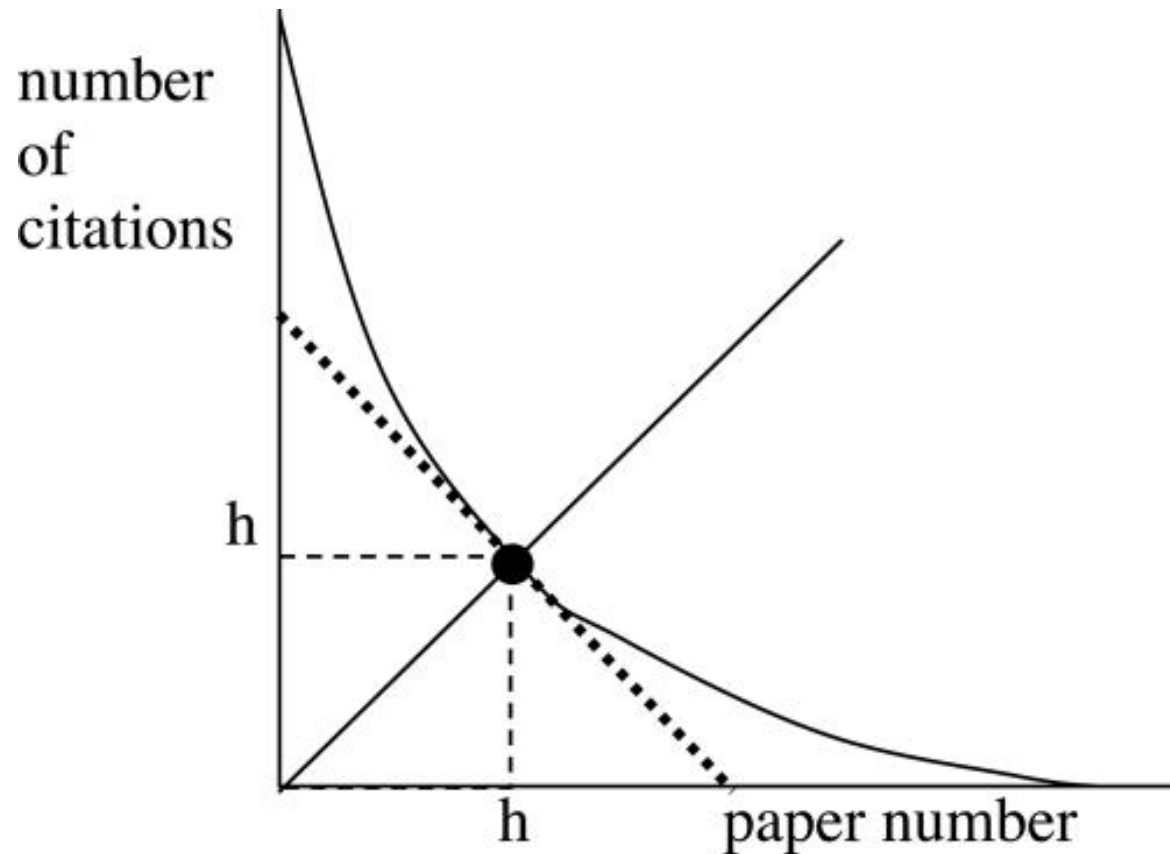




*“For the few scientists who earn a Nobel Prize, the impact...of their research is unquestionable. For the rest of us, how does one quantify the cumulative impact...of an individual’s scientific research output?”*

Jorge E. Hirsch

“A scientist has index  $h$  if  $h$  of his/her  $N_p$  papers have at least  $h$  citations each, and the other  $(N_p - h)$  papers have no more than  $h$  citations each.” Hirsch (2005)



# So why is it a problem?

h-index increases with age so comparing productivity of younger researchers is problematic.

Calculated in controlled databases but need comprehensive citation report of all author's publications.

The index works properly only for comparing scientists working in the same field; citation conventions differ widely among different fields.

Different databases yield different h-index scores.

My h-index:

## **Scopus**

publications indexed = 10  
H-index = 3

## **Google Scholar**

publications indexed = 28  
H-index = 6

## **Web of Science**

publications indexed = 5  
H-index = 1



**To sum this up...**

# The oversimplification of research evaluation metrics

- ▶ Grade-like metrics take into consideration the number of publication and citations.
- ▶ All such metrics are easy to calculate and provide a simplistic way to compare researchers.
- ▶ We have to be aware of the fact that each of them can be challenges on several levels including:
  - Validity – especially how they are field-dependent
  - Limitation – not taking into account other forms of scientific output and impact

# What's wrong with citations metrics?

- ▶ Your research will not be cited once it is covered in a review
  - The findings will often be credited to the review article rather than your own.
- ▶ Databases are limited
  - Citation databases are limited in coverage
- ▶ Google Scholar: Calculations on GS citations are flawed
  - Redundancies and duplications
  - Junk sources
  - Coverage and scope are never disclosed
  - No quality control
- ▶ The Matthew Effect – or "the rich get richer."
  - People tend to cite already well-cited material by well-known researchers

So in order not to get here....



### For Organizations That Supply Metrics

- Be transparent
- Provide access to data
- Discourage data manipulation
- Provide different metrics for primary literature and reviews

### For Publishers

- Cease to promote journals by Impact Factor; provide an array of metrics
- Focus on article-level metrics
- Identify different author contributions
- Open the bibliographic citation data
- Encourage primary literature citations

### For Research Institutions

- When hiring and promoting, state that scientific content of a paper, not the JIF of the journal where it was published, is what matters
- Consider value from all outputs and outcomes generated by research

### For Funding Agencies

- State that scientific content of a paper, not the JIF of the journal where it was published, is what matters
- Consider value from all outputs and outcomes generated by research

### For Researchers

- Focus on content
- Cite primary literature
- Use a range of metrics to show the impact of your work
- Change the culture!

San Francisco  
**DORA**  
Declaration on Research Assessment



See the full text of DORA at [www.ascb.org/SFdeclaration.html](http://www.ascb.org/SFdeclaration.html). Sign the Declaration!

# The Leiden Manifesto for research metrics





# F1000

Changing the way science is communicated -  
powered by our Faculty of over 8,000 leading  
experts in Biology and Medicine.

## DISCOVER

Powerful algorithms suggest articles relevant to your research, with the best articles highlighted as recommended by F1000 Faculty Members.

**F1000Prime**

## WORK

A rich suite of tools help with writing, collaborating, reference management and preparation for publishing in the journal of your choice.

**F1000Workspace**

## PUBLISH

An open science publishing platform for life scientists that offers immediate publication and transparent peer review.

**F1000Research**

# Research Assessment in Transition - Towards Participatory Evaluation



# Traditional vs. Altmetrics

- ▶ Impact can be defined in different ways. Citations are one form of impact as they capture the research built upon.
- ▶ With the rise of technology today we are able to track not citations but also impact through:
  - Social media mentions
  - Traditional media/news coverage
  - Downloads and views
  - Sharing of scientific output
- ▶ These types of metric are called "Altmetrics" (alternative to the traditional citations based ones)
- ▶ These metrics balance biases and allow researchers to showcase the impact of their body of work beyond citations.

# Altmetrics



Altmetrics is the creation and study of new metrics based on the Social Web for analyzing and informing scholarship:

## ▶ Usage

- HTML views, PDF/XML downloads (various sources – eJournals, PubMed Central, FigShare, Dryad, etc.)

## ▶ Captures

- CiteULike bookmarks, Mendeley readers/groups, Delicio.us

## ▶ Mentions

- Blog posts, news stories, Wikipedia articles, comments, reviews

## ▶ Social Media

- Tweets, Google+, Facebook likes, shares, ratings

## ▶ Citations

- Web of Science, Scopus, CrossRef, PubMed Central, Microsoft Academic Search

Altmetrics Manifesto - <http://altmetrics.org/about/>

# Altmetrics data is aggregated from many sources



# Measuring Altmetrics



**ImpactStory.**



non-profit	publisher	usage stats provided by publisher
for profit	service provider	coverage of all journals  coverage of books, datasets, etc.  value-added services
non-profit		
for profit		

# Why do we need to measure both?

- ▶ Researchers are communicators:
  - Within academia:
    - Presentations and seminars
    - Academic books
    - Journal articles and posters
    - Term papers and essays
    - Meetings and conferences
  - Within society:
    - Speaking at public events
    - Interviews and news mentions
    - Press Social media Blogs

# How are we Measuring Research at Mount Sinai?

[✎ Edit this Group](#) [⚙ Embed Widget](#)



# Mount Sinai Health System

## Artifact Summary

68924



Book

53323



Article

7551



Review

3847



Chapters

554



Research



## Researchers

[+ Add Existing Researcher](#) [+ Add New Researcher](#)

Showing 50 of 1400



## Narrow by

[+ Add Subgroup](#)

Showing 10 of 53

- 2015 World's Most Highly Cited Researchers
- Active PostDocs
- All Researchers 2010-2016
- Anesthesiology
- Books
- Cardiovascular Surgery
- Cell, Developmental, and Regenerative Biology
- Center for Comparative Medicine & Surgery
- Dentistry
- Department of Pharmacological Sciences

[Show All](#)

- All (136384)
- Book (68924)
- Article (53323)
- Review (7551)
- Book Chapter (3847)
- Research Artifact (554)
- Conference Paper (546)
- Letter (448)
- Correction (361)
- Retraction (356)
- Guideline (282)
- Reference (63)
- Clinical Trial (35)
- Web resource (15)
- Code / Software (11)
- Interview (10)
- Blog (10)
- Video (8)
- Speech (7)
- Other (6)
- Press Release (5)
- Reference Entry (5)
- Abstract (3)
- Case (3)
- Textual Work (3)
- Report (2)
- Commentary (2)
- Patent (1)
- Grant (1)
- Data (1)
- Bibliography (1)

[Export Data](#)

# Why is this important?

- ▶ Each scientist can include over 25 different sources of output that go beyond just articles
  - Allows for a wholesome view of the body of work
- ▶ You can embed your profile on any webpage and showcase your impact
- ▶ Metrics include “traditional” (i.e. citations) and ‘altmetrics’ (i.e. social media mentions)
- ▶ Editing a profile is easy and straightforward
- ▶ Articles and other indexed materials are updated automatically



# Homework

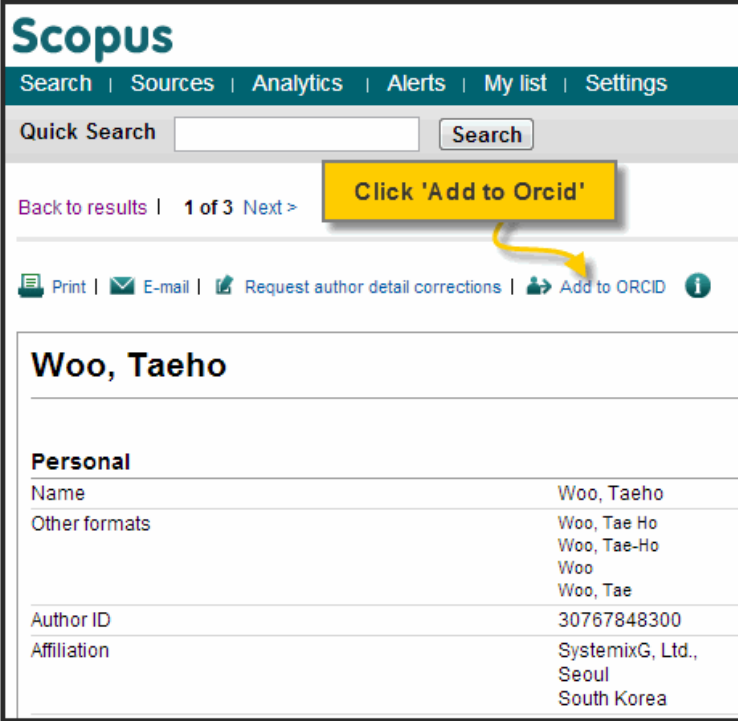
(you can't get away without)

# Create your ORCID profile

- ▶ The ORCID ID:
  - Unique, persistent identifier for researchers & scholars.
  - Free to researchers.
  - Can be used throughout one's career, across professional activities, disciplines, nations & languages.
  - Embedded into workflows & metadata.

For a list of organizations and integrations see:  
<http://orcid.org/organizations/integrators>

# Link ORCID to Your Scopus profile



**Scopus**  
Search | Sources | Analytics | Alerts | My list | Settings

Quick Search  Search

Back to results | 1 of 3 Next >

Print | E-mail | Request author detail corrections | Add to ORCID ⓘ

**Woo, Taeho**

**Personal**

Name	Woo, Taeho
Other formats	Woo, Tae Ho Woo, Tae-Ho Woo Woo, Tae
Author ID	30767848300
Affiliation	SystemixG, Ltd., Seoul South Korea

**If you need help with your “homework,”  
feel free to contact the library. We’ve be  
glad to assist you!**

**RefDesk@mssm.edu**

# Main Takeaways

- ▶ Research evaluation metrics are complex.
- ▶ There are numerous metrics out there.
- ▶ Altmetrics measures are gaining prominence.
- ▶ PLUM is a Mount Sinai effort to measure both traditional and alternative metrics.
- ▶ ORCID and Scopus can help you keep your profile updated.



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